## 10/593772

### IAP9 Rec'd PCT/PTO 22 SEP 2006

Docket No.: 0171-1311PUS1 (PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Go ONO et al.

Application No.: NEW

Confirmation No.: N/A

Filed: September 22, 2006

Art Unit: N/A

For:

CHARGE-TRANSPORTING VARNISH

AND ORGANIC ELECTRO-LUMINESCENT DEVICES MADE BY USING THE SAME

Examiner: Not Yet Assigned

#### **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. This Information Disclosure Statement accompanies the new patent application submitted herewith.

A summary/abstract translation of some of the non-English language references is enclosed. Additionally, please note that JP-A-2001-23778, U.S. Patent Publication Nos. 2002/132133 and 2002/08378 all correspond to JP-A-11-185962. Furthermore, the patent literature documents can be found cited in the specification.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and Birch, Stewart, Kolasch & Birch, LLP GMM/clb

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non-patent literature in accordance with 37 CFR 1.98(a)(2). A concise explanation of relevance of some of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to

the relevant portions of the references.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless

specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: September 22, 2006

Respectfully submitted

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PTO/SB/08a/b (07-06)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Substitute for form 1449A/B/PTO   |            |      |           | Complete if Known      |                    |  |
|-----------------------------------|------------|------|-----------|------------------------|--------------------|--|
|                                   |            |      |           | Application Number     | NEW                |  |
| INFORMATION DISCLOSURE            |            |      |           | Filing Date            | September 22, 2006 |  |
| l s                               | TATEMENT B | 3Y / | APPLICANT | First Named Inventor   | Go ONO             |  |
| (Use as many sheets as necessary) |            |      |           | Art Unit               | N/A                |  |
|                                   |            |      |           | Examiner Name          | Not Yet Assigned   |  |
| Sheet                             | 1          | of   | 1         | Attorney Docket Number | 0171-1311PUS1      |  |

| U.S. PATENT DOCUMENTS |              |  |                                |  |   |
|-----------------------|--------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number  Number-Kind Code <sup>2</sup> ( if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       | AA*          | US-2002/0098378-A1   | 07-25-2002                     | KIM et al.   |   |
|                       | AB*          | US-2002/0132133-A1   | 09-19-2002                     | KIM et al.   |   |

|           | FOREIGN PATENT DOCUMENTS |   |                             |  |   |     |  |
|-----------|--------------------------|---|-----------------------------|--|---|-----|--|
| Examiner  | Cite<br>No.1             | Foreign Patent Document   | Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T⁵  |  |
| Initials* |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                             |  |   |     |  |
|           | ВА                       | JP-7-90179-A  | 04-04-1995                  |  |   | ABS |  |
|           | BB                       | JP-11-185962-A  | 07-09-1999                  |  |   |     |  |
|           | ВС                       | JP-2001-23778-A   | 01-26-2001                  |  |   |     |  |
|           | BD                       | JP-2003-226765-A  | 08-12-2003                  |  |   | ABS |  |
|           | BE                       | JP-8-259810-A   | 10-08-1996                  |  |   | ABS |  |
|           | BF                       | JP-8-143664-A   | 06-04-1996                  |  |   | ABS |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

|                      | NON PATENT LITERATURE DOCUMENTS |  |  |  |  |  |  |
|----------------------|---------------------------------|--|--|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.1                    |  |  |  |  |  |  |
|                      | CA                              | Applied Physics Letters, 1987, Vol. 51, pages 913-915.                 |  |  |  |  |  |
|                      | CB                              | Applied Physics Letters, 1996, Vol. 69, pages 2160-2162.               |  |  |  |  |  |
|                      | CC                              | Nature, 1992, Vol. 357, pages 477-479.                                 |  |  |  |  |  |
|                      | CD                              | Applied Physics Letters, 1994, Vol. 64, pages 1245-1247.               |  |  |  |  |  |
|                      | CE                              | Applied Physics Letters, 1998, Vol. 72, pages 2660-2662.               |  |  |  |  |  |
|                      | CF                              | Bulletin of Chemical Society of Japan, 1994, Vol. 67, pages 1749-1752. |  |  |  |  |  |
|                      | CG                              | Synthetic Metals, 1997, Vol. 84, pages 119-120.                        |  |  |  |  |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.